PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/685.924 **TRANSMITTAL** Filing Date October 10, 2000 **FORM** First Named Inventor Phillip Koh-Dwe Hsu Art Unit (to be used for all correspondence after initial filing) 3621 **Examiner Name** John W. Hayes Attorney Docket Number 12 4797-48 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ~ Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Return receipt postcard Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority RECEIVED Document(s) Response to Missing Parts/ FEB 0 9 2004 Incomplete Application Response to Missing Parts **GROUP 3600** under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Leslie Gladstone Restaino, Reg. No. 38,893 Individual name Signature Date February 3, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT ATTORNEY DOCKET NO. 4797-48 (4034-62)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Phillip Koh-Kwe Hsu

Serial No.

09/685,924

Examiner: Hayes, John W.

Filed

October 10, 2000

Group Art Unit: 3621

Title

INTRANET SYSTEM FOR A FINANCIAL SERVICES

CORPORATION

February 3, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FEB 0 9 2004 **GROUP 3600**

AMENDMENT AND RESPONSE TO NOVEMBER 12, 2003 OFFICE ACTION

Sir:

This Amendment and Response is submitted in response to the Office Action dated November 12, 2003 in connection with the above-identified application. The Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 02-4270.

The present Amendment is submitted in the Revised Amendment Practice under 37 C.F.R. 1.21 effective July 30, 2003.